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New insights into the chemical composition and formation mechanisms of secondary organic aerosols produced in the ozonolysis of limonene JACOB F.^{1,2}, HOUZEL N.^{3,*}, GENEVRAY P.⁴, CLETY C.³, COEUR C.³, PERDRIX E.¹, ALLEMAN L.Y.¹, ANTHERIEU S.², GARCON G.², DHONT G.³, CUISSET A.³, LO GUIDICE J.-M.², TOMAS A.^{1,*} ¹IMT Nord Europe, Institut Mines-Télécom, Univ. Lille, Center for Energy and Environment, 59000 Lille, France ²Univ. Lille, CHU Lille, Institut Pasteur de Lille, ULR 4483 – IMPECS – IMPact de l'Environnement Chimique sur la Santé, F-59000 Lille, France ³Université du Littoral Côte d'Opale, UR 4493, Laboratoire de Physico-Chimie de l'Atmosphère, F-59140, Dunkerque, France. ⁴Université du Littoral Côte d'Opale, Centre Commun de Mesures, 59140 Dunkerque, France *Corresponding authors: alexandre.tomas@imt-nord-europe.fr Nicolas.Houzel@univ-littoral.fr Prepared for Journal of Aerosol Science

Abstract

Limonene is a wide-spread volatile organic compound in the atmosphere. Its fast reaction with ozone leads to a large range of low-volatility oxygenated products that can form aerosols through gas-to-particle conversion processes. However, the physical and chemical mechanisms at the origin of particle formation are still fairly unknown despite the general importance of atmospheric aerosols towards health and climate. In the present work, we combined experimental and theoretical approaches to potentially decipher new significant mechanisms in the ozonolysis of limonene. After a thorough analysis of secondary organic aerosol (SOA) chemical composition highlighting for the first time the formation of oligomers up to heptamer structures as well as linear organic diacids, we proposed a formation mechanism involving non-covalent hydrogen bonding implying carboxylic/carbonyl/hydroxy groups. Theoretical quantum chemical calculations on dimers and trimers confirmed the stability of such structures. Thus, the present results highlight the formation of large oligomeric molecules whose atmospheric fate and health impacts need to be investigated. More generally, it is suggested that these non-covalent H-bonds play a role in the first steps of SOA formation from terpene oxidation in the atmosphere.

Keywords

20 Terpene, ozone, SOA, oligomers, nucleation, complexation energy

1 1. Introduction

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Limonene is one of the most emitted biogenic compounds in the atmosphere with a global average emission rate of 11.4 Tg per year (Guenther et al., 2012). Limonene is also largely used in home deodorizers, detergents and other home products, making limonene one of the most volatile organic compound (VOC) present in indoor air with concentrations that can reach hundreds of ppb during cleaning events (Morawska et al., 2009; Rosales et al., 2022). The presence of two C=C electron-rich double bonds in its structure confers to limonene a high reactivity. In the gas phase, the degradation of limonene is principally driven by OH radicals and O₃ during the day, and by NO₃ radicals during the night, with typical tropospheric lifetimes ranging from a few minutes to a few tens of minutes (Atkinson & Arey, 2003; Braure et al., 2014). Regarding ozonolysis, this oxidation process is known to lead to large amounts of secondary organic aerosols (SOAs) (Ahmad et al., 2017; Waring et al., 2011). SOAs participate to the global burden of atmospheric aerosol, with impacts on climate change, cloud formation, visibility and health (Hallquist et al., 2009; Mauderly & Chow, 2008). Noteworthy, health effects of particles arising from terpene oxidation depend mainly on their size and chemical composition (Rosales et al., 2022). Thus, a significant sensory irritation was observed from limonene ozonolysis SOAs, although this effect could not be fully explained by the identified reaction products (Baltensperger et al., 2008; Clausen et al., 2001; Rohr et al., 2002).

The reaction of limonene with O_3 involves ozone addition to one of the two C=C double bonds. Literature reports that ozone mainly adds to the endocyclic double bond (branching ratio of about 90%) (Grosjean et al., 1993; Wang & Wang, 2021). Both addition reactions (to the endo- and exocyclic double bonds) lead to a distinct primary ozonide which will decompose into excited Criegee intermediates (CI). The fate of these energy-rich molecules is either a stabilization forming stabilized CI (sCI) or a decomposition into an OH radical and an alkyl radical, the latter being instantaneously converted into RO_2 (Johnson & Marston, 2008). Literature studies report OH radical yields of about 70% (Atkinson & Arey, 2003; Gong et al., 2018; Herrmann et al., 2010). The formation of low-volatility products from further reactions of sCI and RO_2 leads to SOA formation (Leungsakul et al., 2005).

In the past two decades, there have been a limited number of studies on SOA formation and chemical characterization from limonene + O₃. Using on-line ion trap mass spectrometry (MS), Warscheid and Hoffmann (2002) observed highly oxidised organic aerosol constituents including intermediate- and low-volatility C₉ and C₁₀ polyfunctional oxygenated compounds containing carbonyl and carboxyl groups (Warscheid & Hoffmann, 2002). Heaton et al. (2007) were the first to detect oligomers using on-line MS and underlined the very fast formation of these compounds in their reactor (Heaton et al., 2007). However, extensive fragmentation in the ionization zone of the mass spectrometer precluded the identification of exact molecular masses and higher-than-dimer oligomers were only suggested. The formation of oligomers was further confirmed by Walser et al. (2008) and Bateman et al. (2009) who both detected more than 600 peaks in the mass-over-charge (m/z) range of masses from 100 to 1000 m/z by analyzing solvent extracted SOA samples using a high-resolution MS (Bateman et al., 2009; Walser et al., 2008). A mechanism involving reactions of sCI with stable first-generation products was inferred to explain the formation of oligomers. Besides, observing photodegradation products of SOAs produced from limonene ozonolysis, Pan et al. (2009) suggested that peroxy radical reactions (RO₂+HO₂ and RO₂+RO₂) are major routes to SOA precursors (Pan et al., 2009). Witkowski and Gierczak (2017) detected significant quantities of oligomers with up to 20 carbon atoms in SOAs formed from the ozonolysis of limonene (Witkowski & Gierczak, 2017). Contrary to Bateman et al. (2009), they advocated that esterification is an important pathway leading to oligomers and SOA formation.

Because of the abundance of limonene both outdoor and indoor combined with forecasted increasing background concentrations of ozone (Lin et al., 2017), it is needed to improve our knowledge of the chemical composition of limonene SOAs and unravel the mechanisms yielding high molecular weight oligomers. As reported above, while some SOA formation routes have been suggested in the literature, large uncertainties remain regarding the exact mechanisms going from gaseous products to the first SOA clusters. The objectives of the present experimental work are to go deeper into the characterization of oligomers arising from the ozone reaction with limonene. A High-resolution Liquid Chromatography Mass Spectrometry (LC-MS) technique was used for the analysis of SOAs and theoretical calculations on oligomer structures were carried out. This allowed us to propose new pathways leading to the identified oligomeric products that could be extended to other terpenes.

2. Experimental part

Limonene ozonolysis dark reaction was operated in a laminar flow reactor described and characterized elsewhere (Ahmad et al., 2017; Duncianu et al., 2012). One of the advantages of this flow reactor is the possibility to maintain a constant reaction advancement over long time-periods, thus enabling the sampling of large and homogeneous amounts of SOAs. The following sections provide details about the generation, collection, and analysis of SOAs carried out in the present work.

2.1 SOA generation

The flow reactor is a tube of about 1 m length and 10 cm diameter that is separately fed by ozone and limonene vapour. Ozone was produced by an O₃ generator (Air Tree Ozone Technology, C-Lasky C-010-DTI or Teledyne Advanced Pollution Instrumentation O₃ calibrator Model T703) supplied by pure dry zero air with a flow of 2.8-6 L/min. The ozone flow was diluted in a 100% humidified flow of 2.2-8 L/min of zero air and was sent to the reactor. The resulting relative humidity (RH) in the reactor was about 50±5%. Pure liquid limonene (d-limonene, purity 97%, Sigma Aldrich) stored in a thermostated bubbler at 293°K was flushed with a 15 mL/min flow of zero air. The vapor pressure of limonene at 293°K is about 139 Pa (Clará et al., 2009) yielding a concentration of about 1370 ppm in the bubbler exiting flow. This flow was rapidly diluted in a dry flow of 1 L/min of zero air and was sent to the reactor. The total flow inside the tube (limonene, ozone and humid air flows) was 6-15 L/min, leading to a constant reaction time of 30-80 s (Duncianu et al., 2012).

Two contrasted experimental conditions were tested:

- 1. Limited oxidation conditions: a limited oxidation of limonene, where the initial ozone concentration $[O_3]_0$ was set at a low value of 940 ppbv for an initial limonene concentration of $[\lim]_0 = 3.1$ ppmv; considering the O_3 + limonene rate constant $k = 2.01 \times 10^{-16}$ cm³ molecule⁻¹ s⁻¹ (Shu & Atkinson, 1994) and a reaction time of 80 s, this allows to estimate that about 5-10% of limonene was consumed by O_3 when leaving the reactor; three experiments were done under these conditions.
- 2. High oxidation conditions: an advanced oxidation of limonene, where $[O_3]_0$ was set at a high value of 50 ppmv for $[\lim]_0 = 1.3$ ppmv; considering a reaction time of 30 s, almost 100% of limonene is expected to be reacted. Two experiments were done under these conditions.

Thus, the properties of SOAs under weak or strong oxidative conditions could be investigated, potentially reflecting fresh and aged SOAs, respectively. No OH scavenger was used in the present study; therefore, both products resulting from O₃ and OH reactions with limonene (and possibly OH

- with reaction products) are expected. No seed particles were used. The flow reactor facility was
- 2 hosted in an air-conditioned laboratory (295°K).
- 3 Ozone was monitored by a UV-absorption O₃ analyzer (O₃ 42M, Environnement SA) while limonene
- 4 concentrations were determined by gas chromatography coupled to dual detection by mass
- 5 spectrometry and flame ionization (GC-MS/FID) (Ahmad et al., 2017). Preliminary tests where ozone
- 6 or limonene was only present in the flow reactor indicated that stable concentrations could be
- 7 obtained over long time-periods (typically 8h) with a variability lower than 10%.
- 8 SOAs were measured by a Scanning Mobility Particle Sizer (SMPS TSI 3938L50) and a Condensation
- 9 Particle Counter (CPC TSI 3750). These measurements enabled to determine the size distribution and
- the total particle number concentration (N_p), respectively. It was shown that N_p reached a maximum
- 11 within about 10 min after the reactant flows were set up and stayed constant over hours. The size
- distributions displayed a monomodal (limited oxidation) or bimodal (high-oxidation) shape centered
- around 90 nm or 60/130 nm, respectively (Figure S1). Day-to-day variability in N_p and size
- distribution was also evaluated by comparing average N_o and sizes and it was shown that they varied
- 15 within 10%.

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2.2 SOA collection

SOAs were sampled on baked quartz filters (Pallflex® Tissuquartz 2500 QAT-UP 47 mm, baked 4h at 500°C) at a constant flow of 5.9-8.4 L/min over 3h to 38h sampling time. A comparison of the chromatograms and mass spectra obtained after the analysis of the 3h- and 38h-sampled SOA showed high consistency (Figure S2), suggesting no significant impact of the sampling duration on the chemical composition of the particle phase. A few filters were sampled with a home-made O₃ KIscrubber installed in front of the filter to check for potential oxidation artefacts due to O₃ interacting with filter-adsorbed compounds. The filters were weighed before and after the sampling to assess the sampled mass and stored in Petri boxes in a freezer at -20°C. Comparing SOA collected masses to SMPS-derived masses (based on a particle density of 1.3 g/mL (Saathoff et al., 2009)) showed a good agreement within 25%. Dichloromethane was used for the extraction of the filters because of its low reactivity and its efficiency to solubilize organic compounds, using the following method (Bateman et al., 2008): each filter was extracted twice into 5 mL of dichloromethane (≥99.5% stabilized, AnalaR NORMAPUR®, VWR) followed by 30 min of sonication. The recovered volumes were then filtered using syringes with 0.45 µm pore size Teflon filters before being evaporated under nitrogen flow (Zymark Turbovap®LV) to 2 mL of extract per filter. The final volumes obtained were stored in glass vials in the freezer at -20°C.

2.3 SOA analysis: chemical composition

Elemental analysis

- 35 SOAs collected on quartz filters were analysed on a Flash 2000 CHNS/O Analyser (Thermo Scientific).
- 36 Four punches of 8 mm diameter were taken from each sampled filter and analysed. The
- 37 quantification of carbon, hydrogen and oxygen contents was undertaken after calibration with
- 38 methionine standard (correlation coefficients >0.999). Punches from a blank filter (a baked quartz
- 39 filter not used) were also analysed and subtracted when necessary. Filter punches are either flash
- 40 burnt under oxygen (90 ml/min) at 930°C or pyrolyzed under helium (140 ml/min) at 1000°C. The
- 41 gases obtained are then analysed by GC/TCD (Gas chromatography coupled to Thermo Conductivity
- 42 Detector).

43 LC-MS analysis

The mass spectrometer used for filter extract analysis is a quadrupole - time-of-flight instrument (QToF Infinity 1290 / 6540 UHD, Agilent, USA) that allows very high-resolution MS/MS analysis (Meng et al., 2020). In the method applied, a volume of 5 μ L was injected on a C18 column (Agilent ZORBAX Eclipse Plus C18 – 2.1 x 50 mm x 1.8 μ m) in an oven held at 40°C with a flowrate of 0.2 mL/min. The mobile phase was constituted of solvent A: water with 0.1% formic acid and 5mM ammonium formate, and solvent B: acetonitrile with 0.1% formic acid. The gradient was as followed: 0-2 min, 90% A and 10% B; 2-15 min, gradient to 50% A and 50% B; 15-20 min, gradient to 0% A and 100% B; 20-21 min, gradient back to 90% A and 10% B; 21-30 min, hold 90% A and 10% B. MS was performed in negative and positive Electro Spray Ionisation (ESI) in scan mode from 40 to 1 700 amu. Nitrogen was used as drying gas at 5 L/min and 300°C. The pressure of the nebulizer was 40 psi and the nozzle voltage was 3500 V. Identification of the detected compounds was performed through MassHunter Qualitative software. Molecular formulas were determined from exact masses selected in the spectra and only results with mass error below 2 ppm and an identification score (calculated by the software) higher than 70% were considered.

2.4 Quantum chemical calculations

A quantum chemical investigation of hydrogen bonding in the dimers and trimers was made with the Gaussian 16 software (Frisch et al., 2019). The density functional theory (DFT) calculations were performed with the B3LYP hybrid functional (Becke, 1993; Lee et al., 1988; Stephens et al., 1994; Vosko et al., 1980). The correlation consistent polarized valence double-zeta atomic basis set (Dunning, 1989) augmented with diffuse functions (Kendall et al., 1992) was selected to describe the Kohn-Sham orbitals and the electronic density.

The complexation energies of dimers and trimers have been computed in order to collect evidence of the possible existence of these complexes. A three-step procedure was followed. First of all, the geometry of the monomers was optimized with the tight criterion of Gaussian 16 for the optimization procedure. In a second stage, the optimized geometries of the monomers were used to construct initial configurations for the geometry of the dimers and trimers. The relative positions of the monomers in the complexes were chosen to favor intermolecular hydrogen bonds through carboxylic functions. These initial guesses of geometry were then optimized, again with the tight criterion. A superfine grid was selected, in order to offer a better accuracy than the default ultrafine grid in the numerical integrations. The influence of the dispersion effects between the monomers was taken into account by including empirical dispersion in the computation, through the D3 version (Grimme et al., 2010) of Grimme's dispersion with Becke-Johnson damping (Grimme et al., 2011). We confirmed that the optimizations converged to a minimum by verifying that all harmonic frequencies were positive at the final geometry. In a third and last step, we performed a single point calculation of the complexation energy at each of the optimized geometries. In order to minimize the effects of a finite atomic orbital basis, we corrected for the basis set superposition error (BSSE) (Boys & Bernardi, 1970; Simon et al., 1996). The geometries of the monomers and of the complexes are available in Table S1.

3. Results and discussion

3.1 SOA elemental analysis

The elemental composition of SOAs sampled in both limited and high oxidation conditions is given in Table 1. It can be noted that this composition only slightly changes under the two oxidation conditions: going from limited- to high-oxidation conditions lets the carbon and hydrogen contents decrease while the oxygen part increases.

	Carbon (%)	Hydrogen (%)	Oxygen (%)	O:C	H:C
Lim. oxidation SOA	56.4 (±0.9)	8.2 (±0.1)	34.0 (±0.3)	0.45 (±0.01)	1.74 (±0.06)
High oxidation SOA	54.2 (±2.0)	7.6 (±0.3)	38.7 (±0.8)	0.54 (±0.03)	1.69 (±0.13)

The O:C ratios raised from 0.45 to 0.54 when the oxidation level increases. Consistently, literature reports average O:C ratios values between 0.43 and 0.50 determined from mass spectra analysis under oxidative conditions of 1 - 10 ppmv for both ozone and limonene (Bateman et al., 2009; Walser et al., 2008). In addition, Bateman et al. (2009) observed that the O:C value of 0.43 is quickly reached after the beginning of the experiment and only slowly increases due to the further oxidation of the material while at the same time H:C ratios slightly decrease from 1.585 to 1.580. While their H:C ratios are lower than those found in the present work, the trend is consistent with our observations. They also noticed that the O:C ratio tends to increase when the molecular weight of the reaction products is higher. The present results suggest that products obtained under high-oxidation conditions contain more oxygen atoms than those formed under limited-oxidation conditions.

The H:C ratio (1.74-1.69) only slightly decreases from limited- to high-oxidation SOAs. The H:C ratio of pure limonene is 1.6 and the first ozonolysis steps (O-elimination, hydroperoxide channel and ester channel) are not expected to change significantly this ratio (Johnson and Marston, 2008). Thus, higher-than 1.6 H:C ratios indicate additional processes leading to an increase of the H:C ratio like the condensation of oxidation products onto small molecules having high H:C ratios (formaldehyde), the condensation of water onto particles or the loss of CO₂ during the decomposition of carbonyl oxides (Walser et al., 2008). Bateman et al. (2009) noted no significant change in the H:C ratios (from about 1.58 to 1.60) with increasing relative humidity from dry to 40% (Bateman et al., 2009). Consistently, the hygroscopicity of SOA from monoterpene ozonolysis is known to be limited, with a growth factor of about 1.065 at 85% relative humidity (Varutbangkul et al., 2006). Therefore, the condensation of H₂O through hydration reactions may have a limited impact on the chemical composition of SOAs.

Oxygen-to-carbon ratios determined on ambient aerosol in various places across Europe display values around 0.41 for semi-volatile oxygenated organic aerosol and 0.89 for low-volatile, more oxydized oxygenated organic aerosol (Crippa et al., 2014; Poulain et al., 2021). Consistently, hydrogen-to-carbon ratios around 1.70 have been found to characterize semi-volatile oxygenated organic aerosol (Poulain et al., 2021). While the present limonene and ozone concentrations are more representative of indoor environments, the calculated ratios reported in Table 1 show rather low oxygenated SOA.

3.2 SOA LC-MS analysis

LC-MS analyses were performed to determine the chemical composition of SOA obtained under the two different operating conditions. As mentioned in the section 2.2, one sample was also collected with an O_3 scrubber in front of the filter (limited limonene oxidation). The results of this sample are similar to the sample without scrubber and will not be discussed specifically. This indicates that on-filter oxidation by ozone is probably not significant in the present experimental conditions.

Examples of total ion chromatograms (TIC) resulting from the LC-MS analyses are shown in Figure S3 in the Supplementary Material. They exhibit a few well-defined chromatographic peaks together with very large peaks underlining the presence of a multitude of co-eluting compounds. TIC from limited-and high-oxidation present strong similarities. We will thus present the common features in the following sections before highlighting the differences.

First- and second-generation products

First- and second-generation products of limonene $+ O_3$ already identified in the literature (Bateman et al., 2009; Gong et al., 2018; Hammes et al., 2019; Kundu et al., 2012; Leungsakul et al., 2005; Walser et al., 2008; Warscheid & Hoffmann, 2002) were looked for in the chromatograms. The presence of all the raw formulas listed in Table 2 was confirmed through their detection in the positive $((M+H)^+ \text{ or } (M+NH_4)^+ \text{ adducts})$ or negative ionization modes $((M-H)^- \text{ adducts})$ depending on the proton affinity of the extracted compound. Overall, 34 raw chemical formulas were identified with high confidence and possible structures are proposed, mostly based on literature findings (Table 2). Most of these compounds contain hydroxyl, carbonyl and/or carboxylic acid functions. Hammes et al. (2019) underlined that large amounts of organic acids were observed particularly in the particle phase (Hammes et al., 2019). Extracted ion chromatograms (EIC) were then obtained based on the ion masses corresponding to the 34 raw formulas. Interestingly, while a few EIC display one single peak, the majority shows either many peaks or large peaks (Figure S4) similar to the TIC over the whole chromatogram.

Table 2: Summary of the monomers detected in limonene+O₃ SOA (raw formula and tentative structures based on the references quoted)

Raw formula	MW	Ionization	m/z	Score	Structures*
$C_3H_4O_4$	104	(M-H) ⁻	103.0037	99.76	OH OH
$C_4H_6O_4$	118	(M-H) ⁻	117.0193	99.99	OHOH
$C_5H_8O_4$	132	(M-H)⁻	131.0350	99.96	HO OH OH
$C_6H_{10}O_4$	146	(M-H)⁻	145.0506	99.9	OH
$C_7H_{10}O_4^{a}$	158	(M-H) ⁻	157.0506	99.69	ОН
$C_7H_{10O_5}^a$	174	(M-H) ⁻	173.0455	100	ОНООН

$C_7H_{12}O_3^{a}$	144	(M-H) ⁻	143.0714	100	ОН
$C_7H_{12}O_4$	160	(M-H) ⁻	159.0663	99.81	HO OH
$C_8H_{12}O_3$	156	(M-H)⁻	155.0714	99.99	OH
$C_8H_{12}O_4^{a,b}$	172	(M-H) ⁻	171.0663	99.74	ĕ O
$C_8H_{12}O_5^a$	188	(M-H) ⁻	187.0612	99.18	НО
$C_8H_{12}O_6$	204	(M-H)⁻	203.0561	100	ОН
$C_8H_{14}O_3^{a}$	158	(M+H) ⁺ (M+NH ₄) ⁺ (M+H) ⁺	159.1012 176.1278 175.0962	99.22 99.42 99.56	NDS
$C_8H_{14}O_4$	174	(M+NH ₄) ⁺	192.1229	99.9	но
$C_9H_{12}O_4^{a}$	184	(M-H)⁻	183.0663	99.25	NDS
$C_9H_{12}O_5^{a}$	200	(M-H)⁻	199.0612	100	OH
$C_9H_{12}O_6^{a}$	216	(M-H) ⁻	215.0561	99.62	o NDS

$C_9H_{14}O^c$	138	(M-H) ⁻	137.0972	99.07	
$C_9H_{14}O_2$	154	(M-H) ⁻	153.0921	99.47	NSD OH
$C_9H_{14}O_3^{\ b,d,e}$	170	(M-H) ⁻	169.087	100	
$C_9H_{14}O_4^{\ a,b,c,d,e}$	186	(M-H) ⁻	185.0819	99.99	ОН
$C_9H_{14}O_5^{a,b,e}$	202	(M-H) ⁻	201.0768	99.9	но
$C_9H_{16}O_3$	172	(M+H) ⁺	173.1173	99.97	NDS
$C_9H_{16}O_4$	188	(M+H) ⁺ (M+NH ₄) ⁺	189.1119 206.1385	99.72 99.83	OH OH
$C_{10}H_{14}O_3^{c,d}$	182	(M-H) ⁻	181.087	99.96	

					ОН
$C_{10}H_{14}O_4^{d}$	198	(M-H) ⁻	197.0819	99.98	
$C_{10}H_{14}O_5^{\ a}$	214	(M-H) ⁻ (M+H) ⁺	213.0768 169.1221	99.99 99.77	 NDS
$C_{10}H_{16}O_2^{\ b,c,d}$	168	(M+NH ₄) ⁺	186.1487	99.87	
$C_{10}H_{16}O_3^{a,b,c,d,e}$	184	(M-H) ⁻	183.1027	99.99	OH OH
					ОН
$C_{10}H_{16}O_4^{a,b,d}$	200	(M-H)⁻	199.0976	99.84	ОН
$C_{10}H_{16}O_5^{f}$	216	(M-H)⁻	215.0925	99.82	ОН
					l H

$C_{10}H_{16}O_{6}^{f}$	232	(M-H) [⁻]	231.0874	99.8	HO OH OH
C ₁₀ H ₁₈ O ₄	202	(M+NH ₄) ⁺	220.1541	99.73	но

a: (Hammes et al., 2019); b: (Walser et al., 2008); c: (Leungsakul et al., 2005); d: (Bateman et al., 2009); e: (Kundu et al., 2012); f: (Warscheid & Hoffmann, 2002).

* One structure shown as example, but different structures could fit a same raw formula.

NDS: No Defined Structure

56 Oligomer identification

The mass spectra recorded over the LC-MS chromatograms show clear evidence for the presence of large oligomer structures. Figure 1 presents a typical mass spectrum (at a retention time of 11.1 min) displaying such oligomer formations with up to seven regular modes corresponding to monomer (~45-250 m/z), dimer (~290-490 m/z), trimer (~490-680 m/z), tetramer (~680-880 m/z), pentamer (~880-1050 m/z), hexamer (~1050-1300 m/z), until heptamer (~1300-1500 m/z) compounds. Oligomers were already observed in the ozonolysis of VOCs using offline and online techniques (laser desorption ionization and electrospray ionization mass spectrometry, ion cyclotron resonance Fourier transform mass spectrometry), both in controlled lab-experiments and in the atmosphere (Baltensperger et al., 2005; Kalberer et al., 2004; Kourtchev et al., 2016; Ofner et al., 2011; Tolocka et al., 2004; Yang et al., 2020). However, the present work shows, for the first time, oligomeric structures up to heptamers in the ozonolysis of monoterpenes.

An interesting point regarding the mass transitions between one oligomer area to the next one (inter-mode transitions), is that they systematically correspond to the exact masses of first- and second-generation products of limonene ozonolysis (Figure 1, Table 3 and Table S2).

Table 3: Oligomers M_1 and M_2 identified and highlighted in Figure 1 and the monomer responsible for the transition between oligomers M_1 and M_2 .

Identified monomer/oligomer M_1			Identified monomer/oligomer M ₂			Monomer responsible for the transition $M_1 \rightarrow M_2$		
(M ₁ -H) ⁻	M₁ brut formula	Score	(M₂-H)⁻	M_2 brut formula	Score	Transition $(M_2-H)^{-}$ - $(M_1-H)^{-}$	Transition brut formula	Score
197.0820 C ₁₀ H ₁₄ O ₄ 99.9	00.00	369.1559	C ₁₈ H ₂₆ O ₈ (Dimer)	99.52	172.0739	C ₈ H ₁₂ O ₄ (Monomer)	99.37	
		99.98	369.1913	C ₁₉ H ₃₀ O ₇ (Dimer)	99.05	172.1093	C ₉ H ₁₆ O ₃ (Monomer)	97.8

415.1971	C ₂₀ H ₃₂ O ₉ (Dimer)	99.83				186.0868	C ₉ H ₁₄ O ₄ (Monomer)	77.82
399.1660	C ₁₉ H ₂₈ O ₉ (Dimer)	99.9	601.2839	C ₂₉ H ₄₆ O ₁₃ (Trimer)	89.61	202.1179	C ₁₀ H ₁₈ O ₄ (Monomer)	76.17
431.1930	$C_{20}H_{32}O_{10}$ (Dimer)	98.72				170.0909	$C_9H_{14}O_3$ (Monomer)	<70
601.2839	C ₂₉ H ₄₆ O ₁₃ (Trimer)	89.61	787.3734	$C_{38}H_{60}O_{17}$ (Tetramer)	93.61	186.0895	$C_9H_{14}O_4$ (Monomer)	99.57
787.3734	$C_{38}H_{60}O_{17}$ (Tetramer)	93.61	973.4605	C ₄₇ H ₇₄ O ₂₁ (Pentamer)	85.56	186.0871	C ₉ H ₁₄ O ₄ (Monomer)	81.83
973.4605	$C_{47}h_{74}O_{21}$ (Pentamer)	85.56	1159.5502	$C_{56}H_{88}O_{25}$ (Hexamer)	90.57	186.0897	$C_9H_{14}O_4$ (Monomer)	98.78
1159.5502	C ₅₆ H ₈₈ O ₂₅ (Hexamer)	90.57	1345.6373	C ₆₅ H ₁₀₂ O ₂₉ (Heptamer)	84.02	186.0871	C ₉ H ₁₄ O ₄ (Monomer)	81.23

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Thanks to the mass precision of the ToF-MS (< 2 ppm), it is possible to precisely identify the raw formula of the species involved in the transitions from one group of oligomers to another one (called inter-mode transitions in the following). In addition, transitions within an oligomer area (called intramode transitions, Figure 2) are mainly characterized by mass differences of m/z 14 and 16. The regularity of the mass transitions was already underlined in previous laboratory studies on other chemical systems (Baltensperger et al., 2005; Kalberer et al., 2004; Tolocka et al., 2004) and field campaigns (Yang et al., 2020). More rarely, transitions of m/z = 10, 12 or 18 are observed in the present work. MS identification of the raw chemical formulas shows that these transitions are linked to a gain or a loss of CH_2 (transitions m/z = 14) and O (transitions m/z = 16) on the oligomer chain (Figure 2 and Table 4). It should be stressed that most of the limonene ozonolysis products have a difference of a multiple of CH_2 (ex: $C_{10}H_{16}O_4/C_9H_{14}O_4$) or O_2 (ex: $C_{10}H_{16}O_3/C_{10}H_{16}O_4$) and scarcely a difference of m/z = 10 (ex: $C_8H_{14}O_4/C_9H_{12}O_4$), 12 (ex: $C_8H_{12}O_4/C_{10}H_{16}O_3$) or 18 (ex: $C_{10}H_{16}O_3/C_9H_{14}O_5$). The difference of mass 18 could be due to water loss in aldol condensation or esterification reactions (Bateman et al., 2009) or water addition through hydration reactions, as suggested in the section 3.1 "SOA elemental analysis". Thus, these regular intra-mode mass transitions reveal that oligomer chains are composed of molecules matching first- and second-generation products and sCI, the chains growing either through addition of monomers without any atom/fragment loss or through reactions between sCI and monomers or higher oligomers.

Table 4: Identification of the oligomers M_1 and M_2 linked by a difference of m/z = 14 (CH₂) and 16 (O) as displayed in Figure 2.

(M ₁ -H)	M₁ brut formula	Score	(M ₂ -H) ⁻	M₂ brut formula	Score	Transition M_2 - M_1
541.2662	$C_{27}H_{42}O_{11}$	98.92	555.2798	$C_{28}H_{44}O_{11}$	97.08	CH ₂
555.2798	$C_{28}H_{44}O_{11}$	97.08	571.2746	$C_{28}H_{44}O_{12}$	96.67	0
571.2746	$C_{28}H_{44}O_{12}$	96.67	585.2899	$C_{29}H_{46}O_{12}$	95.05	CH ₂
585.2899	$C_{29}H_{46}O_{12}$	95.05	601.2839	$C_{29}H_{46}O_{13}$	89.61	0
601.2839	$C_{29}H_{46}O_{13}$	89.61	617.2826	$C_{29}H_{46}O_{14}$	98.04	0
617.2826	$C_{29}H_{46}O_{14}$	98.04	631.2970	$C_{30}H_{46}O_{14}$	99.97	CH ₂

Although a few hypotheses were made regarding the formation mechanisms of these oligomers (accretion of RO_2 radicals, esterification, reactions involving sCI), the exact mechanisms still remain unknown. It is worth noting that oligomerization processes such as RO_2 accretion ($RO_2 + RO_2 \rightarrow ROOR + O_2$, (Jokinen et al., 2014; Pan et al., 2009)) or esterification (alcohol + acid \rightarrow ester + H_2O , (Bateman et al., 2009)) proceed through losses of atoms/fragments; therefore, regarding the intra-mode observed in this study, they might not fully explain oligomer formation. Regarding organic peroxide formation through RO_2 accretion reactions, while this mechanism cannot be ruled out, the fairly high lability of organic peroxides may hamper them to remain in the collected SOA samples (Krapf et al., 2016). Clustering of small oligomers in the ESI source of the MS could also be neglected, since the analysis of samples diluted from 100 to 1000 times did not show any difference in the global shape of the chromatograms, with a steady elution of oligomers with different masses supposed to arise from a partial oligomer degradation in the ionization source leading to smaller-size oligomers. Note also that Gao et al. tested individual monomers and cocktails of monomers with an ESI source and concluded that only minimal amounts of dimers could be observed, thus precluding any significant impact of the ESI source on oligomer formation (Gao et al., 2004).

A few literature studies suggest that the ozonolysis of unsaturated VOCs like monoterpenes can be followed by dimerization and trimerization through non-covalent hydrogen bonds involving carboxylic functional groups, dicarboxylic functional groups and near ketones/alcohol functional groups (Claeys et al., 2009; Hoffmann et al., 1998; Figure S5). First- and second-generation products identified in the present work possess such functional groups (Table 2) enabling them to form this type of non-covalent bonds. Intramolecular H-bonds in complex oxygenated molecules are expected to hamper the formation of clusters. Yet, the detection of all the monomers in the oligomer elution region with exactly the mass of the first- and second-generation products strongly suggests their participation in the formation of the oligomers and limited intramolecular bonds. The oligomers observed in this work in the limonene ozonolysis SOAs support processes involving intermolecular hydrogen bonding between first- and second-generation products.

Moreover, the presence of non-covalent hydrogen bonds is supported by comparing chromatograms issued from monomer and oligomer ion extractions. For example, the EIC for the $C_9H_{14}O_4$ monomer and their corresponding homodimer $C_{18}H_{28}O_8$ and homotrimer $C_{27}H_{42}O_{12}$ are superposed in Figure 3. On the monomer signal, four major peaks are observed: a first one elutes at 1.1 min corresponding to one monomeric compound with $C_9H_{14}O_4$ formula; a second peak is also detected at 1.6 min and two others at 3.4 and 3.6 min. The homodimer extracted chromatogram exhibits one major peak at 1.6 min: the presence of a signal for the monomer and homodimer at a strictly identical retention time (1.6 min) suggests that the homodimer is partly decomposed in its monomer during the analysis in the ionization source of the MS. The peaks at 3.4 and 3.6 min might be due to two isomers of the monomeric compound eluting at 1.1 min. Regarding the homotrimer chromatogram, no chromatographic peak can be observed but only a tiny baseline increase between 5.0 and 6.5 min. This suggests that the homotrimer $C_{27}H_{42}O_{12}$ is probably in negligible amount in the SOA sample. Similar overlapped chromatographic profiles were observed for other monomers such as $C_8H_{12}O_4$, $C_9H_{14}O_3$, $C_9H_{14}O_4$, $C_9H_{14}O_5$, $C_{10}H_{16}O_3$ and $C_{10}H_{16}O_4$.

In addition, in a previous article published by our group (Meng et al., 2020) analyzing the SOAs formed from the reaction between guaiacol and NO₃ using the same LC-MS setup, it is reported that the aerosols are composed of a mixture of nitroguaiacol and dimers of nitroguaiacol linked by covalent peroxide bonds. Contrary to the present work, no decomposition was noted for the dimeric compounds (Figure S6) although the peroxide bond is known to be fairly weak (Bach et al., 1996). Therefore, the observed decomposition of homodimers in the soft ESI source suggests that the bond

energy between the two monomers is lower than a typical covalent bond. This will be discussed later on in section 3.3.

3 Apart from the non-covalent H-bond association reactions suggested above, other pathways like 4 esterification, aldolisation and sCI reactions cannot be excluded among the processes leading to 5 oligomers (Bateman et al., 2009; Kalberer et al., 2004; Tolocka et al., 2004; Witkowski & Gierczak, 6 2017; Yasmeen et al., 2010). For example, the structural isomers with raw formula C₁₈H₂₈O₆ identified 7 in the present work is suggested to be formed by esterification or aldolisation (Witkowski & Gierczak, 8 2017). The EIC of the corresponding mass shows 4 main chromatographic peaks followed by an 9 increase of the signal in the oligomer elution area (Figure S7), indicating that the C₁₈H₂₈O₆ isomers 10 are present in the condensed phase both as single compounds (under different isomer forms, Figure 11 S8) and also participating in many oligomer structures (Figure S9). The same behaviour has been 12 noted for compounds such as C₁₉H₂₈O₇ and C₁₉H₃₀O₅.

A distinction has to be made between the two main types of components of the SOAs studied: the single compounds and the oligomers. Single compounds, including monomers and dimers with covalent or non-covalent bonds, exhibit separate chromatographic peaks on their EIC (Figure S4). These well-defined peaks are often followed by an increased baseline signal in the oligomer area eluting from ~3.0 to 20.0 min. The significant contribution of monomer and dimer signals in the oligomer area indicates that they participate in oligomeric compounds, which partly decompose either during elution or, more probably, in the ionization source of the MS giving rise to a monomeric/dimeric signal. Furthermore, the instability of oligomers during the elution and/or the ionization process stresses the relative fragility of the bonds between each monomer and supports the hypothesis of non-covalent bonds (see also the theoretical calculations below). The poor chromatographic separation observed for oligomers in the analytical conditions of this study is consistent with the observation of oligomers nearly over the whole chromatograms. Their concentration and size raise along the chromatogram to reach heptamers and maximum concentrations between 10-12 min. Noteworthy, literature studies reported the use of LC-MS techniques to identify H-bonding clusters (Claeys et al., 2009; Hoffmann et al., 1998; Yasmeen et al., 2010).

29 Diacidic compounds

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Interestingly, C3 to C10 linear diacids were also identified for the first time in limonene ozonolysis products (Table 2). Note that C4-C6 diacids were observed in limonene photo-oxidation chemistry (Jaoui et al., 2006). Their unexplained presence was attributed to heterogeneous/multiphase processes. The corresponding EIC (Figures 4a and S10a-c) display a limited number of peaks and an almost flat signal in the oligomer area for the short linear diacids. For the C6 (adipic), C7 (pimelic), and C8 (suberic) diacids, the signal in the oligomer elution area slightly increases with the raise of the diacid size (Figures 5b and S10d), indicating that these diacids may participate in oligomer structures. Indeed, some diacids were shown to favour intramolecular hydrogen bonds (Claeys et al., 2009). In the case of linear diacids, the distance between two acid functions may play a role for non-covalent bonds with short distance favouring intramolecular hydrogen bonds and long distance favouring intermolecular hydrogen bonds (Figure S5).

Literature reports the formation of dimers and cyclic trimers through H-bonding of compounds containing one carboxylic functional group (Csanko, 2015). Although limonene SOA products with a single carboxylic function (like 6-oxo-pentanoic acid, Table 2) may similarly participate to the formation of dimers and cyclic trimers, there is no evidence yet in the literature about the formation of cyclic tetramers or larger cyclic oligomers from such monoacid molecules. However, the

- 1 participation of diacid compounds in the formation of higher oligomers may be envisioned in
- 2 facilitating the connection of monomers and dimers through non-covalent H-bonds (Figure S11).
- 3 Differences between the limited and high oxidation samples
- 4 While oligomer formation is clear in both limited- and high-oxidation samples, as expected the latter
- 5 seems to present oligomers with higher masses. A limited oxidation of limonene by ozone restrains
- 6 the formation of higher-generation products, whereas under strong oxidation the two limonene
- 7 double bonds will react with ozone leading to more oxidized and heavier monomeric and oligomeric
- 8 compounds. This observation is also supported by the disappearance of unsaturated compounds in
- 9 the high oxidation sample as the $C_{10}H_{16}O_3$ monomer (see Figure S12) and the three dimers $C_{18}H_{28}O_6$,
- 10 $C_{19}H_{28}O_7$ and $C_{19}H_{30}O_5$ (not shown).

3.3 Theoretical calculations

Monomers

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- 13 We considered two molecules for the calculations, one with a carboxylic acid function, the limonalic
- acid ($C_9H_{14}O_3$) and one with both ketone/alcohol functions, the 70H-limonaldehyde ($C_{10}H_{16}O_3$). These
- 15 two molecules have been chosen due to their similar structures to investigate the possibilities of
- dimerization and trimerization by non-covalent hydrogen bonds of functions present in the products
- 17 observed in SOAs formed from limonene ozonolysis.
- 18 The two structures have been built as stabilized geometries with potential intramolecular hydrogen
- 19 bonds and then optimized by Gaussian in order to find the minimum of the potential energy surface
- 20 close to these initial geometries (Figure S13).

21 Complexation energy of dimers and homotrimers

Dimers with intermolecular hydrogen bonds are well known in literature to form stable structures (even more stable than lone monomer like acetic acid homodimer in the gas phase at room temperature (Goubet et al., 2015)). In our calculations, the monomer initial positions were chosen to favour intermolecular hydrogen bonds through carboxylic functions. The values of the complexation energies are given in Table 5. The raw values were computed without BSSE correction, while the corrected values take care of the BSSE. Typically, it is expected that the raw values are larger in absolute value than the corrected ones, because one monomer of a complex can artificially lower its energy using the atomic basis set of the other monomers of the complex (Kestner & Combariza, 1999). This statement is verified in Table 5, which suggests that all the five considered complexes should be formed in the experiments presented in the paper. Carboxylic acid homodimers are more stable than ketone/alcohol homodimers, but both are possible and are more stable than the two separate molecules. As expected, the intermolecular hydrogen bonds for cyclic dimers happen through carboxylic functions (Figure 5a) and ketone/alcohol functions (Figure S14). In addition, the heterodimer of the two molecules investigated (limonalic acid and 70H-limonaldehyde) shows a slightly less stable structure (complexation energy of -20.45 kcal/mol) than the carboxylic acid homodimer (complexation energy of -22.66 kcal/mol), but is also very stable. The present complexation energies obtained for acidic dimers compare fairly well with the dimerization energy of -17.0 kcal/mol calculated for terpenylic acid (Claeys et al., 2009).

Table 5: Complexation energies for dimers and trimers

Complex	Oligomor typo	Complexation energy		
Complex	Oligomer type	(raw) kcal/mol	(corrected) kcal/mol	
Limonalic acid dimer	Homodimer	-23.73	-22.66	

7OH-limononaldehyde dimer	Homodimer	-10.09	-8.64
Limonalic acid and 70H-limononaldehyde dimer	Heterodimer	-22.28	-20.45
Limonalic acid trimer	Homotrimer	-39.62	-35.06
70H-limononaldehyde trimer	Homotrimer	-35.77	-31.28

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Furthermore, the complexation energies of the trimers are larger than the complexation energies of the dimers. So those values tend to demonstrate that the trimers are more stable than the corresponding dimers. As expected again, cyclic trimers are stabilized by connecting two monomers on one carboxylic function to form a large non-planar ring with three intermolecular hydrogen bonds (Figure 5b). Compounds with ketone and alcohol functions present similar features (Figures S15).

These calculations confirm that the formation of dimers and trimers from oxygenated organic compounds such as those produced in the limonene ozonolysis SOAs is possible. While investigating larger-than-trimer oligomers was not possible for time-computing reasons, literature on water clusters suggests that higher oligomers like tetramers and pentamers may be even more stable than dimers and trimers (Miliordos & Xantheas, 2015; Temelso et al., 2011). According to the present calculations and based on the structure of the products observed in the condensed phase, one can conclude that various sizes of oligomers can be formed through non-covalent H-bonding during the limonene oxidation by ozone (Figure S11).

4. Conclusion

In this work, the chemical composition of SOAs formed from the ozonolysis of limonene was investigated through elemental analysis and high-resolution LC-QToF-MS/MS combined with DFT calculations. Limited- and high-oxidation conditions were experimentally applied, showing a rapid formation of particles with high O:C ratios. The further analysis of SOAs allowed the identification of 34 raw chemical formula related to first- and second-generation products mainly bearing carboxylic acid and carbonyl functions. A huge number of oligomers up to heptamer structures were also detected in both limited- and high-oxidation samples. The analysis of these oligomers indicates the participation of the first- and second-generation products in the building up of these structures, either through Criegee Intermediate reactions or through hydrogen bonding. The thorough analysis of extracted ion chromatograms together with quantum chemical calculations on dimers and trimers support the latter hypothesis that H-bonds involving carboxylic and carbonyl/hydroxyl functions play a significant role in the formation of oligomers. Since non-covalent hydrogen bonding was shown to be involved in α -pinene ozonolysis products (Claeys et al., 2009), other terpenes may also be concerned by such oligomer-forming pathways, requiring further investigations. It is suggested that these weakly-bond oligomers may serve as first clusters in particle nucleation processes, raising also the question of their stability, lifetime and fate in the atmosphere. In addition, in the context of the admitted relationships between the chemical composition of atmospheric particles and their health impacts (Mauderly & Chow, 2008), the present work highlights the formation of large molecules whose health impact mechanisms still need to be investigated. Finally, a series of linear dicarboxylic acids were identified for the first time in the limonene ozonolysis products, the larger diacids also participating in oligomer formation.

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Data availability

The authors will provide all the data upon request.

Recherches Pluridisciplinaires en Sciences de l'Environnement).

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- 1 Figure 1: Mass spectrum taken at a retention time of 11 min 8 s illustrating the presence of oligomers
- 2 up to heptamers (limited-oxidation condition). Transitions between oligomer areas are shown. All
- 3 the transitions correspond to exact masses of first- and second-generation products.
- 4 Figure 2: Mass spectrum taken at a retention time of 11 min 5 s illustrating the intra-mode
- 5 transitions in the dimer area. The majority of the transitions correspond to a gain or a loss of CH₂
- 6 (transitions m/z = 14) and O (transitions m/z = 16).
- 7 **Figure 3:** Extracted Ion Chromatograms (EIC) for $C_9H_{14}O_4$ monomer (blue line, m/z = 185.0844), its
- 8 corresponding homodimer $C_{18}H_{28}O_8$ (red line, m/z = 371.1711) and homotrimer $C_{27}H_{42}O_{12}$ (black line,
- 9 m/z = 557.2604). Please note that the y-axis is cut for a better view of the lower part.
- 10 **Figure 4:** EIC for a) succinic acid ($[C_4H_6O_4]-H$)⁻ = 117.0193 m/z (left) and b) pimelic acid ($[C_7H_{12}O_4]-H$)⁻ =
- 11 159.0663 m/z (right).

- 12 Figure 5: Optimized geometries with the B3LYP functional, GD3BJ dispersion correction and aug-cc-
- 13 pVDZ basis set for limonalic acid homodimer (a) and homotrimer (b) drawn with Gaussview
- visualisation software. The intermolecular hydrogen bonds are represented by dashed bonds.



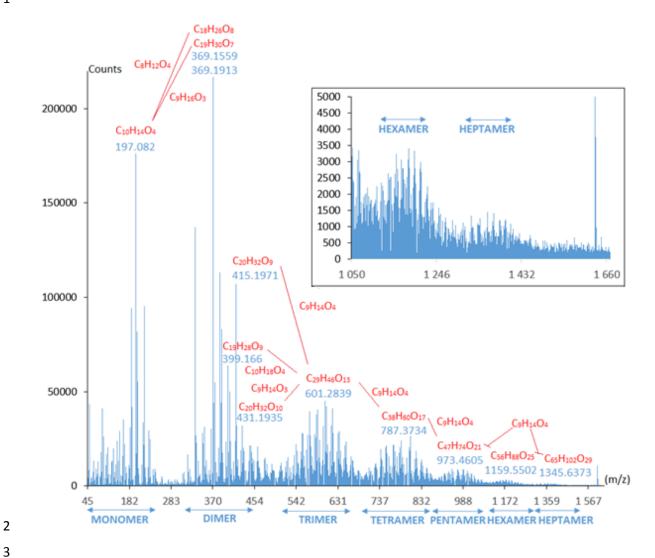


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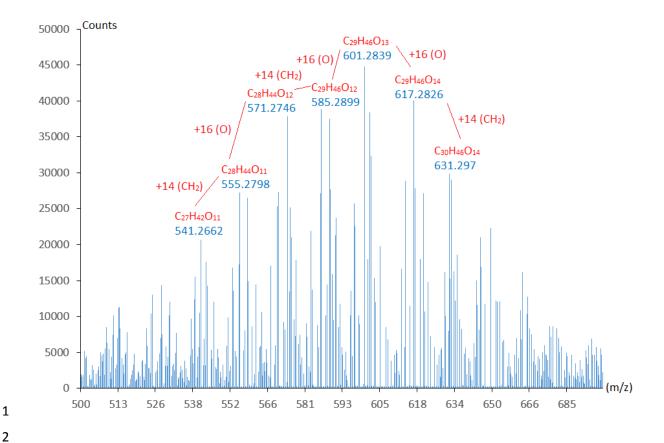


Figure 2: Mass spectrum taken at a retention time of 11 min 5 s illustrating the intra-mode transitions in the trimer area. The majority of the transitions correspond to a gain or a loss of CH_2 (transitions m/z = 14) and O (transitions m/z = 16).

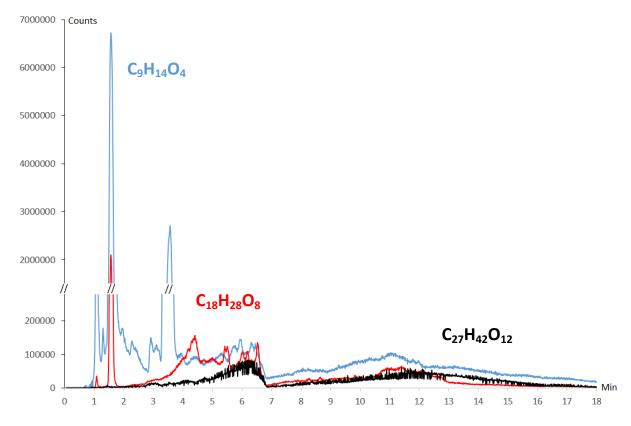


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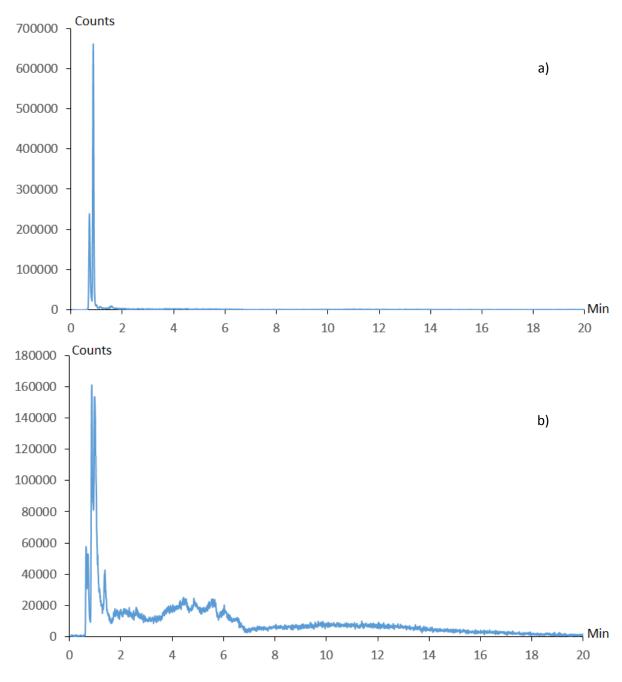
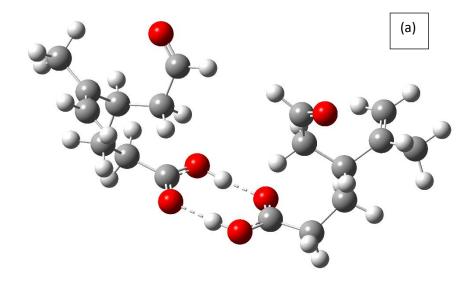


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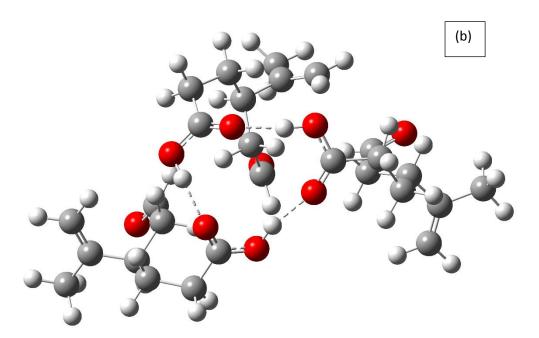


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